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OPEN On residual stresses and homeostasis: an elastic theory of functional adaptation in living matter

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Living matter can functionally adapt to external physical factors by developing internal tensions, easily revealed by cutting experiments. Nonetheless, residual stresses intrinsically have a complex spatial distribution, and destructive techniques cannot be used to identify a natural stress-free configuration. This work proposes a novel elastic theory of pre-stressed materials. Imposing physical compatibility and symmetry arguments, we define a new class of free energies explicitly depending on the internal stresses. This theory is finally applied to the study of arterial remodelling, proving its potential for the non-destructive determination of the residual tensions within biological materials.

Modern physiology developed from the idea that life phenomena result from the mutual equilibrium between the living matter and the surrounding media. First proposed by Claude Bernard¹, such a dynamic balance was hypothesized to regulate living organisms despite the structural complexity of the constituting matter2. Although based on novel experimental observations, this seminal concept somewhat reformulated the old philosophical concept of harmony by Heraclitus and Empedocles, as the principle guiding constant change in all beings. Accordingly, this modern conception was later referred to as homeostasis, etymologically from the ancient Greek words "homeoios" and "stasis", roughly indicating the tendency towards a steady state3. A network of servo-mechanisms physiologically restores the stable equilibrium between the interior matter of a living entity in the face of external perturbative agents, which are generically identified as biological stresses4. Although this notion includes stress in the mechanical sense, i.e. the set of physical cues determining a deformation within a body, it is worth noticing that stresses in medicinal sense refer to a much wider ensemble of factors, e.g. anatomical-biochemical and symphato-adrenal stimuli⁵.

Since the pioneering study of Galileo on the allometric scaling of bones in animals with different dimensions⁶, much work has been done to understand the functional adaptation of biological matter to physical forces. Classical examples include the optimal structural remodelling of bone to mechanical loading (Wolff's law)⁷, and arterial endothelia to shear stress (Murray's law)8. It is now well acknowledged that tensional homeostasis in living matter is regulated through mechano-transduction, i.e. the ensemble of biological processes transforming mechanical cues into biochemical signalling. Living cells sense physical stimuli through integrins and surface receptors, possibly triggering intracellular signalling, which in turn activates the actomyosin contractility to produce internal stresses. Thus, cells balance exogenous and endogenous forces using an iterative process, also known as mechano-reciprocity9: in physical terms, this functional adaptation aims to optimize a certain functional, possibly a free energy. Hence, not only can living matter mechanically adapt to the external physical cues, but it can also respond by epigenetic remodelling. This genetic response may drive the onset of pathologies, e.g. solid tumours, expressing malignant genes in response to the higher cytoskeletal tension resulting from an altered stromal interaction 10. In summary, living materials have the striking ability to change actively their micro-structure for adjusting to the surrounding media, developing a state of internal tension, which even persists after the removal of any external loading. Such residual stresses, closely resembling the ones originated by misfits within inert matter11, are almost ubiquitous in Nature, being revealed by simple cutting experiments,

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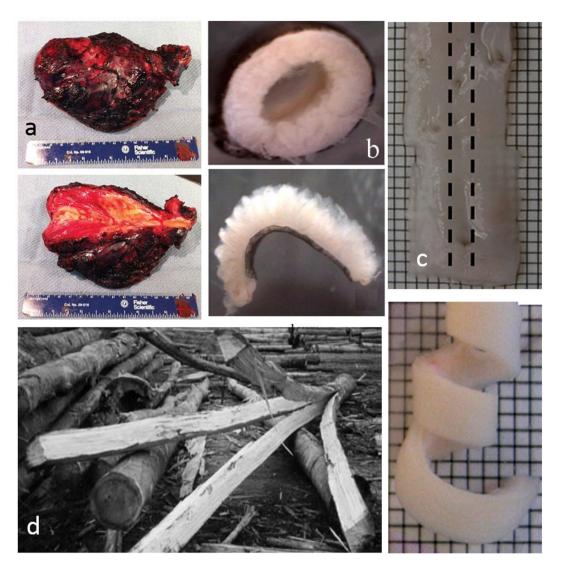


Figure 1. Release of residual stresses after cutting experiments in different living materials: solid tumour ((a) adapted from 14 , freely available online through the PNAS open access option); small intestine ((b) adapted from 35 , distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/2.0)); artery ((c) adapted from 36 , this image is not covered by the CC by license); *Eucalyptus* log ((d) adapted from 37). All images are reprinted with permission of the copyright holders.

as depicted in Fig. 1. If a cut produces a measurable opening, which is a revelatory and quantifiable sign of internal tension, it inevitably destroys the material integrity, thus making it unsuitable for determining the complex distribution of residual stresses. Even if non-destructive experimental techniques, e.g. X-ray diffraction and photo-elasticity, have been lately developed in metallurgic research, most of them are either inapplicable to soft living matter or they are only limited to simple geometries¹². Nonetheless, accounting for the three-dimensional residual stresses is of utmost importance for understanding the properties of many biological materials, since such internal tensions are often of the same order of magnitude as the external loadings, as measured for arteries¹³ or solid tumours¹⁴.

In this work, we propose a novel constitutive theory for internally stressed living matter, demonstrating how a principle of functional optimality in response to external loads allows determining the complex distribution of residual stresses. In the existing literature, residual stresses in biological materials are modelled using a *virtual stress-free state*, i.e. a collection of the natural configurations around each material point, as if all the tensions exerted by the surrounding matter could be released¹⁵. A multiplicative decomposition of the deformation gradient is further applied to restore the physical compatibility of the material, following an earlier approach used in elasto-plasticity¹⁶. Notwithstanding, such a virtual state does not exist in physical reality and must be postulated *a priori*, often providing an oversimplified, unrealistic representation of the residual stresses.

Our approach goes beyond the state of the art since it does not require any virtual state: instead, it considers that the reference configuration of the body is residually stressed, assuming that its free energy explicitly depends on the pre-existing residual stresses.

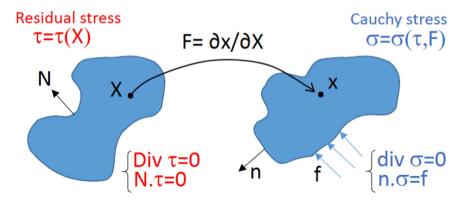


Figure 2. Kinematics of the finite deformation of a residually stressed elastic body subjected to a generic external traction load f in the spatial configuration.

The Nonlinear Elastic Model

We consider the living body as a continuous distribution of matter at the tissue scale, thus assuming a characteristic length larger than the cell size. Let **X** and **x** be the material and spatial position vectors of a biological material in the reference (residually stressed) and final configurations \mathcal{B}_0 and \mathcal{B}_a , respectively, so that $\mathbf{F} = \partial \mathbf{x}/\partial \mathbf{X}$ is the geometric deformation gradient, as depicted in Fig. 2.

Furthermore, we choose a characteristic time much larger than the typical time regulating the formation of physical distortions between cells (e.g. few days during embryogenesis¹⁷), so that the material is initially subjected to a quasi-static distribution of macroscopic residual stresses. Therefore, the body occupies a volume Ω_0 with a free boundary $\partial\Omega_0$ with an outward unit normal N in the reference configuration, being characterized by a *residual stress tensor* τ , such that:

Div
$$\boldsymbol{\tau} = \mathbf{0}, \quad \boldsymbol{\tau} = \boldsymbol{\tau}^T \quad \text{in } \Omega_0;$$

 $\mathbf{N}.\boldsymbol{\tau} = \mathbf{0} \quad \text{on } \partial\Omega_0$ (1)

where Div is the material divergence. Therefore, applying the mean value theorem to the equilibrium condition in Eq. (1), we deduce that the residual stress field cannot be uniform within the body, unless it vanishes everywhere, and it must have zero mean value in the reference domain¹⁸. In addition, we assume that the characteristic time is short enough, with respect to the characteristic dissipation time of the underlying biological bonds, so that the material behaves elastically and a strain energy functional Ψ can be postulated¹⁹. Disregarding material anisotropy in the following for the sake of simplicity, we further assume that the elastic free energy of the material can be written as $\Psi = \Psi(\mathbf{F}, \tau)$. Applying the representation theorem for tensor functions, Ψ can be written as a scalar function of a set of ten independent invariants²⁰: the six principal invariants $I_{\alpha k}$, with $\alpha = (C, \tau)$ and k = (1, 2, 3), of $\mathbf{C} = \mathbf{F}^T \mathbf{F}$ and τ , and the following four combined invariants²¹:

$$J_1 = \text{tr}(\tau C), \quad J_2 = \text{tr}(\tau C^2),$$

 $J_3 = \text{tr}(\tau^2 C), \quad J_4 = \text{tr}(\tau^2 C^2),$ (2)

where **tr** indicates the trace operator. Accordingly, the symmetric Cauchy stress tensor $\sigma = \sigma(F, \tau)$ in the deformed configuration reads (see Supplementary Material for further details):

$$\sigma = \mathbf{F} \left(\sum_{k=1}^{3} \frac{\partial \Psi}{\partial I_{Ck}} \frac{\partial I_{Ck}}{\partial \mathbf{F}} + \sum_{m=1}^{4} \frac{\partial \Psi}{\partial J_{m}} \frac{\partial J_{m}}{\partial \mathbf{F}} \right)$$

$$= -p\mathbf{I} + 2\Psi_{,I_{Cl}}\mathbf{B} + 2\Psi_{,I_{C2}}(I_{Cl}\mathbf{B} - \mathbf{B}^{2})$$

$$+ 2\Psi_{,J_{1}}\mathbf{F}\boldsymbol{\tau}\mathbf{F}^{T} + 2\Psi_{,J_{2}}\mathbf{F}(\boldsymbol{\tau}\mathbf{C} + \mathbf{C}\boldsymbol{\tau})\mathbf{F}^{T}$$

$$+ 2\Psi_{,J_{3}}\mathbf{F}\boldsymbol{\tau}^{2}\mathbf{F}^{T} + 2\Psi_{,J_{4}}\mathbf{F}(\boldsymbol{\tau}^{2}\mathbf{C} + \mathbf{C}\boldsymbol{\tau}^{2})\mathbf{F}^{T}, \tag{3}$$

where $\mathbf{B} = \mathbf{F}\mathbf{F}^T$, comma denotes partial derivative and p is the Lagrange multiplier ensuring the incompressibility constraint det $\mathbf{F} = 1$, which generally applies to soft living matter at tissue scale, since they are mostly composed of water²². The equilibrium condition imposes that div $\sigma = \mathbf{0}$ in the spatial domain, div being the spatial divergence, while the value of p can be fixed by applying the generic boundary conditions in the spatial configuration, possibly representing external loading and/or imposed displacements.

In order to propose a physically meaningful constitutive relation for Ψ as a function \mathbf{F} and τ , a number of physical conditions must be imposed. First of all, the free energy must be zero for an unstressed and undeformed body, so that $\Psi(\mathbf{I}, \mathbf{0}) = 0$. Second, we must enforce the *compatibility* of the residual stress, i.e. $\sigma(\mathbf{I}, \tau) = \tau$, giving rise to three scalar equations. Third, we introduce the *initial stress symmetry*, i.e. the necessity to recover the residually stressed configuration from the loaded state by reversing the mapping:

$$\tau = \mathbf{F}^{-1} \frac{\partial \Psi}{\partial \mathbf{F}} (\mathbf{F}^{-1}, \, \boldsymbol{\sigma}), \tag{4}$$

which imposes nine scalar equations involving the derivatives of the free energy with respect to the invariants. Although all such three physical conditions result in a non trivial set of equations, as reported in the Supplementary Material, a great simplification arises if we neglect the functional dependence on J_2 , J_3 and J_4 . Under these assumptions, we prove that a suitable class of free energies for a residually stressed material has the following functional form:

$$\Psi = \frac{1}{2} [f(I_{\tau_j}) I_{B1} + J_1 - d] \tag{5}$$

where d is a constant and $f(I_{\tau_j})$ is a scalar function of the residual stress components, which defines their influence on the material response after a superimposed elastic deformation. In particular, we demonstrate that the generalized expression of a neo-Hookean material with residual stresses is given by setting $f(I_{\tau_j}) = \lambda$ where λ is the real positive root of:

$$\lambda^3 + \lambda^2 I_{\tau_1} + \lambda I_{\tau_2} + I_{\tau_3} - \mu^3 = 0 \tag{6}$$

and $d = 3\mu$, with μ being the shear modulus in the absence of residual stresses.

The constitutive relation defined in Eqs (5, 6) defines a novel free energy for finite hyperelastic solids with residual stresses, which is mathematically well-posed thanks to the polyconvexity of Eq. (5). It describes a residually stressed body which physically behaves as a neo-Hookean material, without requiring any guess about the virtual stress-free state, in contrast to the existing approaches based on the multiplicative decomposition. This novel constitutive theory opens a number of applications in the study of the physical behaviour of living matter, especially for the non-destructive experimental determination of the residual stresses. For example, mechanical testing on intact tissues could be used to measure the displacements in controlled loading conditions, which in turn may feed an inverse analysis for determining the initial distribution of the residual stresses. The significance of the proposed theory beyond the existing methods is exemplified in the following applications, concerning the study of the mechanical adaptation of living matter and the non-destructive determination of residual stresses by elastic wave propagation.

The Functional Adaptation of Arteries

It is well known that when a segment of an artery is cut radially, the sample ring opens to a circular sector, highlighting the presence of residual stresses within the tissue¹³. Since the vessel is internally subjected to the physiological blood pressure, such residual stresses arise to reduce the transmural gradient of the Cauchy stress within the thick tube. Taking the opening sector as the stress-free natural configuration of the artery, it has been hypothesized that residual stresses result from a self-regulatory process reacting to long-term average values of blood pressure towards a homeostatic condition²³. Although apparently reporting a realistic distribution of opening angles for different physiological conditions and tissue geometries, it has been observed that a radial cutting does not release all the internal tension, and some residual stresses remain. In contrast, we apply the proposed constitutive theory for postulating a principle of functional adaptation regulating the arterial homeostasis through optimal material remodelling.

Let us consider an artery having internal and external spatial radii, r_i and r_o respectively, both much smaller than the vessel length, so that plane strain assumptions apply. Using a cylindrical coordinate system (r, θ) , the equilibrium equation is $(\sigma_{rr} r)_{,r} = \sigma_{\theta\theta}$, with boundary conditions $\sigma_{rr}(r_o) = 0$ and $\sigma_{rr}(r_i) = -P$, with P being the blood pressure. Using a conjecture originally related only to the hoop stress component^{24,25}, we assume that homeostasis for arteries corresponds to an optimal physical state characterized by the minimum stress gradient within the tissue. From a biological viewpoint, this hypothesis resides in the ability of the vascular smooth muscle cells to actively contract in response to the local stress level¹³. Accordingly, such a target state can be found by transforming the boundary elastic problem into a variational problem by minimizing the following functional:

$$\mathcal{L} = \int_{r_i}^{r_o} \sum_{ik} \sigma_{jk,r}^2 dr = \int_{r_i}^{r_o} \left[\sigma_{rr,r}^2 + (r\sigma_{rr})_{,rr}^2 \right] dr \tag{7}$$

with $(i, k) = (r, \theta)$, under the constraint $\int_{r_i}^{r_\theta} \sigma_{rr,r} dr = P$ given by the boundary conditions. The solution for the homeostatic stress is given by solving the Euler-Lagrange equations arising from $\delta \mathcal{L} = 0$, as detailed in the Supplementary Material and depicted in Fig. 3. We highlight that the resulting Cauchy stress has an optimal transmural gradient which is lower than the one obtained using an opened ring as a virtual unstressed state. We now assume that this target homeostatic state can be reached by the artery thanks to a functional adaptation process, i.e. undergoing a microstructural remodelling of the residually stressed configuration. In particular, let R_o and R_i be the outer and inner radii of such an optimal reference configuration; the global incompressibility condition imposes:

$$R = (r^2 + R_i^2 - r_i^2)^{1/2} = (r^2 + R_o^2 - r_o^2)^{1/2}$$
(8)

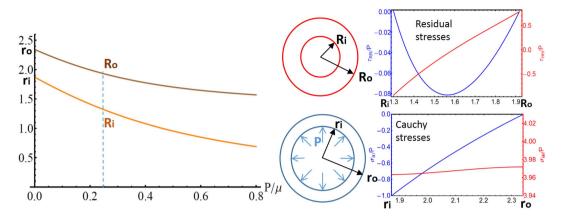


Figure 3. Functional adaptation in arteries: change of the unloaded geometry with the internal pressure P (left); resulting distribution of residual (right, top) and optimal Cauchy stresses (right, bottom). We set $r_o = 2.34$ mm, $r_i = 1.87$ mm, $P/\mu = 2.38$ from the experimental data in³⁸, giving $R_o = 1.92$ mm and $R_i = 1.3$ mm.

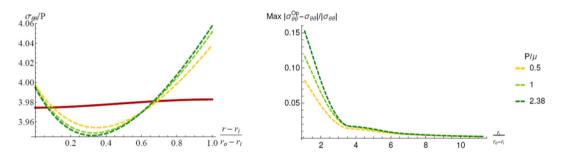


Figure 4. Comparison of the results of the proposed model (solid lines) and the opening angle method (dashed lines): distribution of the Cauchy hoop stress (left); and maximum stress difference as a function of the aspect ratio $r_i(r_0 - r_i)$ (right). As in Fig. 3, we set $r_o = 2.34$ mm, $r_i = 1.87$ mm, whilst we show the corresponding curves for $P/\mu = 0.5$, 1, and 2.38.

where R = R(r) is the material radial coordinate, such that the deformation gradient reads $F = \text{diag}(1/R_{,r}, r/R)$. Taking into account the initial stress symmetry in the proposed constitutive symmetry, the residual stresses can be expressed as:

$$\tau = \lambda_{\sigma} \mathbf{C}^{-1} + \mathbf{F}^{-1} \boldsymbol{\sigma} \mathbf{F}^{-T} - p \mathbf{I}$$
 (9)

where $\lambda_{\sigma} = \lambda(I_{\sigma j})$, $I_{\sigma j}$ being the principal invariants of the optimal σ . Considering that the equilibrium of the axisymmetric residually stressed configuration imposes $(R\tau_{RR})_{,R} = \tau_{\theta\theta}$, by virtue of the zero boundary conditions we obtain:

$$\int_{R_i}^{R_o} \frac{\tau_{RR} - \tau_{\theta\theta}}{R} dR = 0. \tag{10}$$

Substituting Eqs. (8, 9) in Eq. (10), we can determine the residually stressed configuration, i.e the value of R_p , R_o and the corresponding τ , that optimizes the stress gradient distribution in response to an internal blood pressure P. Such results are depicted in Fig. 3, describing how the artery mechanically adapts to the internal pressure by remodelling its residually stressed configuration.

Thus, the proposed model provides a more accurate description of the resulting Cauchy stresses compared to the approach based on the opening angle. In Fig. 4 (left), the optimal hoop stress distribution of our model (solid line) is depicted against the corresponding values obtained using the opening angle method for different values of P/μ (dashed lines). Using the same model parameters as in Fig. 4, it is shown that the opening angle method increasingly overestimates the maximum stress inside the material as P/μ increases. The two methods clearly coincide for P=0, corresponding to an unstressed residual configuration. The maximum stress difference between the opening angle method and our model also increases when decreasing the aspect ratio $r_i/(r_o-r_i)$ of the tube, as shown in Fig. 4 (right). Thus, we prove that the results of the proposed method are significantly more accurate than the ones of the standard method, especially when considering thick tubes subjected to a high magnitude of residual stresses.

Experimental Determination of Residual Stresses by Wave Propagation

The proposed constitutive model for pre-stressed materials opens the path to new approaches for the non-destructive determination of the residual tensions. In particular, here we investigate if the residual stress components can be inferred by inverse-analysis from experimental measurements on waves on the undeformed, residually stressed body.

In the following, we provide an illustrative example dealing with infinitesimal wave propagation in an undeformed tubular tissue with residual stresses. Let us consider an inhomogeneous infinitesimal displacement ${\bf u}$ superposed on the undeformed, pre-stressed configuration, reading:

$$\mathbf{u} = u(R, \Theta, t)\mathbf{E}_R + v(R, \Theta, t)\mathbf{E}_{\Theta},\tag{11}$$

where \mathbf{E}_R and \mathbf{E}_Θ are the radial and tangential unit vectors, so that u, v represent the incremental radial and hoop motion fields, respectively. Indicating with $\mathbf{\Gamma} = \operatorname{Grad} \mathbf{u}$ the spatial displacement gradient associated with the incremental deformation, the incremental incompressibility condition and the incremental equations of motion read²⁶:

tr
$$\Gamma = 0$$
, Div $\mathbf{s} = \rho \frac{\partial^2 \mathbf{u}}{\partial t^2}$, (12)

with the stress-free incremental boundary conditions $\mathbf{E}_R \mathbf{s} = \mathbf{0}$ at the inner and outer radii, R_i and R_o , respectively. The components of the incremental nominal stress \mathbf{s} for the constitutive theory in Eq. (2) simplify as:

$$s_{ij} = \lambda_{\tau}(\Gamma_{ii} + \Gamma_{ij}) + \tau_{ik}\Gamma_{ik} - q_{\tau}\delta_{ij}, \tag{13}$$

where q_{τ} is the incremental Lagrange multiplier p, and λ_{τ} is the real root of $\lambda^2 + \lambda I_{\tau_1} + I_{\tau_3} - \mu^2 = 0$, which is the equivalent of Eq. (6) in plane strain conditions.

Let us now make an educated guess of the solution and look for a time-harmonic cylindrical wave, whose displacement and stress components read:

$$[u, s_{RR}, q_{\tau}] = [U(R), S_{RR}(R), Q(R)] \cos(m\Theta - \omega t),$$

$$[v, s_{R\Theta}] = [V(R), S_{R\Theta}(R)] \sin(m\Theta - \omega t),$$
 (14)

where m is the integer angular wavenumber, ω is the angular frequency, and the amplitudes U, V, S_{RR} , $S_{R\Theta}$, Q are scalar functions of R only. Following²⁷, we introduce a functional relation between the incremental traction $S = [S_{RR}, S_{R\Theta}]^T$ and the displacement vector $\mathbf{U} = [U, V]^T$ as $RS(R) = \mathbf{Z}(R)\mathbf{U}(R)$, where \mathbf{Z} is a *surface impedance matrix*. Using a standard method in elastodynamics²⁸, the incompressibility condition and the equation of motion in Eq. (12) can be recast into a differential Riccati equation for \mathbf{Z} :

$$\frac{d}{dR}\mathbf{Z} = \frac{1}{R}(\mathbf{G}_3 - \mathbf{G}_1^T \mathbf{Z} - \mathbf{Z}\mathbf{G}_1 - \mathbf{Z}\mathbf{G}_2\mathbf{Z}),\tag{15}$$

where G_1 , G_2 , G_3 are the sub-blocks of the Stroh matrix, whose components can be found in the Supplementary Material. Eq. (15) can be solved numerically after assuming a given functional dependence for the residual stresses. Thus it is possible in principle to establish an inverse analysis for determining the residual stress distribution within a pre-stressed tube using wave propagation experiments. An illustrative example is sketched in the following. From Eq. (1), a simple expression of residual stress distribution is given by:

$$\tau_{RR} = \alpha (R - R_i)(R_o - R)/R_i^2; \qquad \tau_{\Theta\Theta} = (R\tau_{RR})_R \tag{16}$$

so that α/μ is a dimensionless parameter that defines the intensity of the pre-stresses. Using the stress-free boundary conditions and the functional form in Eq. (16) it is possible to numerically integrate Eq. (15) from the initial condition $\mathbf{Z} = \mathbf{Z}(R_o) = \mathbf{0}$, proving the existence of a time-harmonic cylindrical wave when the target condition det $\mathbf{Z}(R_i) = \mathbf{0}$ is met. In Fig. 5 (left), we depict the pre-stress parameter α/μ for which a cylindrical wave with a given frequency ω can propagate for different values of the angular wavenumber m, as a function of the aspect ratio of the tube. The resulting wave shape for $R_o = 2R_i$ and m = 10 is finally shown in Fig. 5 (right). Accordingly, performing experiments using the propagation of time-harmonic cylindrical waves in pre-stressed tubes allows to unravel the underlying distribution of residual stresses. In practice, we can emit a wave at a given frequency ω , and then measure its speed c on the outer face at radius R_o . The experimental measure of c allows to calculate the angular wavenumber m, since they are linked by the relation $m = \omega R_o/c$. Then by varying the frequency and measuring the speed, we can derive an experimental ω -m curve, which can be compared to the theoretical one, obtained for different initial distributions of the pre-stresses. This simple procedure finally could be the basis for establishing a nonlinear inverse-analysis that determines the residual stress distribution in a non-destructive way.

Concluding Remarks

In summary, we have proposed a novel constitutive theory to describe the behaviour of residually stressed elastic materials. In contrast to previous models, which considered a virtual stress-free state without any physical counterpart, our approach defines a free energy explicitly depending on the quasi-static distribution of the residual stresses within the reference configuration. Imposing physical compatibility and symmetry arguments, we derived a novel class of constitutive behaviour, proving a generalization for a pre-stressed neo-Hookean material. This novel theory was later applied to the study of the functional adaptation of the artery to the internal blood

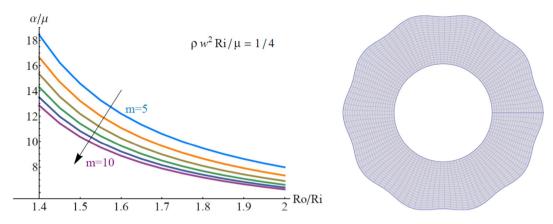


Figure 5. Left: Dispersion curves showing the pre-stress parameter α/μ for which a cylindrical wave with a given frequency ω can propagate as a function of the aspect ratio R_0/R_i of the tube. We depict curves for different values of the angular wavenumber m, setting $\rho\omega^2R_i/\mu=1/4$. Right: Resulting wave shape for $R_o/R_i=2$ and m=10. The amplitude is arbitrarily set as $0.15~R_o$ for the sake of graphical clarity.

pressure. In particular, our results are consistent with the ones obtained using the opening angle method, yet our optimal solution is energetically more favourable, therefore physically more relevant. Our proposed theory applies to those cases in which a steady distribution of residual stresses pre-exists within the biological material, so that an elastic free energy can be assumed. Nonetheless, it does not account for the microstructural rearrangement processes, such as cell duplication and/or migration, which provoke either the formation of residual stresses or the fluid -like stress relaxation phenomena²⁹, which may be relevant up to the timescale of days³⁰. Thus, future refinements will focus on introducing methods of individual cell-based models in order to capture the microscopic processes at the grain cell scale³¹. In another example, we have shown how the proposed model opens new ways for the non-destructive determination of the residual tension within living matter using wave propagation. Developing these non-destructive methods could improve our understanding of the physical mechanisms that regulate biological growth¹⁹.

Possible extensions of this preliminary exploration are multiple. Hence, the strain energy (5) can be made to depend on more invariants, including for instance a dependance on I_{C_3} to reflect compressibility, see³² for an example. Intrinsic anisotropy can also be accounted for in the strain energy in order to capture the stiffening effect of the oriented bundles of type I collagen often encountered in soft tissues, see for example³³. Similarly, the physical set-up can include non-homogeneity, to reflect the layered structure of most soft tissues. Finally, for the motions of real biological tissues, our hyperelastic model can be used to yield the elastic foundation of a constitutive relation including visco-elastic terms, see e.g.³⁴.

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P.C., A.G. and M.D. developed the mathematical model and wrote the article.

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Supplemental Material

On residual stresses and homeostasis:
an elastic theory of functional adaptation in living
matter

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Supplemental Material

1 Derivation of a constitutive equation for elastic bodies with residual stresses

Under the assumptions of a macroscopic length-scale at the tissue scale and a characteristic time-scale short enough to neglect dissipative effects on the residual stresses (e.g. ageing phenomena), it is possible to define an elastic free energy $\Psi = \Psi(\mathbf{F}, \boldsymbol{\tau})$. Since we neglect any material anisotropy within the material (e.g. fiber reinforcement), we postulate that Ψ is a scalar-valued function depending on ten invariants: the six principal invariants of \mathbf{C} and $\boldsymbol{\tau}$, and the four combined invariants of \mathbf{C} and $\boldsymbol{\tau}$. From standard thermo-mechanical arguments, the constitutive equation of the Cauchy stress can be expressed as:

$$\boldsymbol{\sigma} = \mathbf{F} \frac{\partial \Psi}{\partial \mathbf{F}} (\mathbf{F}, \boldsymbol{\tau}) = \mathbf{F} \left(\sum_{k=1}^{3} \frac{\partial \Psi}{\partial I_{Ck}} \frac{\partial I_{Ck}}{\partial \mathbf{F}} + \sum_{m=1}^{4} \frac{\partial \Psi}{\partial J_{m}} \frac{\partial J_{m}}{\partial \mathbf{F}} \right)$$
(S1)

where the chain differentiation rule has been used. Recalling the following tensor rules:

$$\frac{\partial I_{C1}}{\partial \mathbf{F}} = 2\mathbf{F}^T; \qquad \frac{\partial I_{C2}}{\partial \mathbf{F}} = 2I_{C1}\mathbf{F}^T + \mathbf{C}\mathbf{F}^T; \qquad \frac{\partial I_{C3}}{\partial \mathbf{F}} = 2I_{C3}\mathbf{F}^{-1}$$
(S2)

$$\frac{\partial J_1}{\partial \mathbf{F}} = 2\boldsymbol{\tau} \mathbf{F}^T; \quad \frac{\partial J_2}{\partial \mathbf{F}} = 2\boldsymbol{\tau} \mathbf{C} \mathbf{F}^T + 2\mathbf{C} \boldsymbol{\tau} \mathbf{F}^T; \quad \frac{\partial J_3}{\partial \mathbf{F}} = 2\boldsymbol{\tau}^2 \mathbf{F}^T; \quad \frac{\partial J_4}{\partial \mathbf{F}} = 2\boldsymbol{\tau}^2 \mathbf{C} \mathbf{F}^T + 2\mathbf{C} \boldsymbol{\tau}^2 \mathbf{F}^T$$
(S3)

it is possible to derive the Equation (3) of the Letter. It is useful to highlight that the constitutive equation for a compressible material can easily be obtained by removing the Lagrange multiplier p and postulating a functional dependence of the free energy on I_{C3} .

2 Derivation of the initial stress symmetry conditions

The *initial stress symmetry* (ISS) enforces the necessity to recover the residually stressed configuration from the loaded state by reversing the deformation mapping:

$$\tau = -p_{\tau} \mathbf{I} + \mathbf{F}^{-1} \frac{\partial \Psi}{\partial \mathbf{F}} (\mathbf{F}^{-1}, \boldsymbol{\sigma})$$
 (S4)

where p_{τ} is the Lagrange multiplier due to the incompressibility constraint. Hereafter we show that the ISS conditions in Eq. (S4) can be expressed as a set of scalar equations, relating the free energy density $\Psi(\mathbf{F}, \boldsymbol{\tau})$ and the invariants of $\boldsymbol{\tau}$ and \mathbf{C} . Let us first use Eq. (S4) to write the residual stress as

$$\boldsymbol{\tau} = -p_{\tau}\boldsymbol{I} + 2\Psi_{,I_{1}}^{\boldsymbol{\sigma}}\boldsymbol{C}^{-1} + 2\Psi_{,I_{2}}^{\boldsymbol{\sigma}}(I_{2}\boldsymbol{C}^{-1} - \boldsymbol{C}^{-2}) + 2\Psi_{,J_{1}}^{\boldsymbol{\sigma}}\boldsymbol{F}^{-1}\boldsymbol{\sigma}\boldsymbol{F}^{-T} + 2\Psi_{,J_{3}}^{\boldsymbol{\sigma}}\boldsymbol{F}^{-1}\boldsymbol{\sigma}^{2}\boldsymbol{F}^{-T}$$
$$+ 2\Psi_{,J_{2}}^{\boldsymbol{\sigma}}\boldsymbol{F}^{-1}(\boldsymbol{\sigma}\boldsymbol{B}^{-1} + \boldsymbol{B}^{-1}\boldsymbol{\sigma})\boldsymbol{F}^{-T} + 2\Psi_{,J_{4}}^{\boldsymbol{\sigma}}\boldsymbol{F}^{-1}(\boldsymbol{\sigma}^{2}\boldsymbol{B}^{-1} + \boldsymbol{B}^{-1}\boldsymbol{\sigma}^{2})\boldsymbol{F}^{-T} \quad (S5)$$

where $\Psi_{I_k}^{\sigma} := \Psi_{,I_k}(\boldsymbol{F}^{-1},\boldsymbol{\sigma}), \ \Psi_{,J_m}^{\sigma} := \Psi_{,J_m}(\boldsymbol{F}^{-1},\boldsymbol{\sigma}), \ I_1 = \operatorname{tr}(\boldsymbol{C}), \ I_2 = \operatorname{tr}(\boldsymbol{C}^{-1})$ using the Cayley-Hamilton theorem with $\det \boldsymbol{C} = 1$, and comma denotes partial derivative with respect to the following term. The above must hold for every $\boldsymbol{\tau}$ and \boldsymbol{C} . Thus, we can substitute the constitutive equation for the Cauchy stress into Eq. (S5), obtaining:

$$\alpha_{ijkmn} \mathbf{C}^i \boldsymbol{\tau}^j \mathbf{C}^k \boldsymbol{\tau}^m \mathbf{C}^n = \mathbf{0}, \tag{S6}$$

where we assume summation for i, k, n over $\{-2, -1, 0, 1, 2\}$ and j, m over $\{0, 1, 2\}$. Dealing with symmetric tensors, we have the symmetries $\alpha_{ijkmn} = \alpha_{njkmi} = \alpha_{nmkji}$, where the values of α_{ijkmn} are not reported here for the sake of brevity.

Finally, it is possible to further simplify Eq. (S6) to obtain nine scalar equations that hold for any choice of Ψ and of all the invariants. This can be done by varying C and τ while

keeping the invariants fixed. Since Eq.(S6) must hold for any C and τ , we obtain nine scalar equations which compactly rewrite

$$\boldsymbol{b} + \boldsymbol{P}^{\{1\}} \Psi_{,J_1}^{\sigma} + \boldsymbol{P}^{\{2\}} \Psi_{,J_2}^{\sigma} + \Psi_{,J_n} \left(\boldsymbol{P}_{\{n\}}^{\{3\}} \Psi_{,J_3}^{\sigma} + \boldsymbol{P}_{\{n\}}^{\{4\}} \Psi_{,J_4}^{\sigma} \right) = 0, \tag{S7}$$

$$\Psi_{J_n} \left(\mathbf{Q}_{\{n\}}^{\{1\}} \Psi_{,J_1}^{\sigma} + \mathbf{Q}_{\{n\}}^{\{2\}} \Psi_{,J_2}^{\sigma} + \mathbf{Q}_{\{n\}}^{\{3\}} \Psi_{,J_3}^{\sigma} + \mathbf{Q}_{\{n\}}^{\{4\}} \Psi_{,J_4}^{\sigma} \right) = 0,$$
 (S8)

where n sums over $\{0, 1, 2, 3, 4\}$, $\Psi_{J_0} := 1$, and:

$$\boldsymbol{b} = \begin{bmatrix} 2\Psi_{,I_{2}}^{\sigma} \\ -2\Psi_{,I_{1}}^{\sigma} \\ p_{\tau} \\ 0 \\ 0 \\ 1 \end{bmatrix}, \quad \boldsymbol{P}^{\{1\}} = 4 \begin{bmatrix} \Psi_{I_{2}} \\ p/2 \\ -\Psi_{,I_{1}} \\ -2\Psi_{,J_{2}} \\ 2I_{2}\Psi_{,J_{2}} \\ -\Psi_{,J_{1}} - 2I_{1}\Psi_{,J_{2}} \end{bmatrix}, \quad \boldsymbol{P}^{\{2\}} = 4 \begin{bmatrix} p \\ I_{2}p - 2\Psi_{,I_{1}} - 2I_{1}\Psi_{,I_{2}} \\ 2\Psi_{,I_{2}} \\ 0 \\ -2\Psi_{,J_{1}} \\ -4\Psi_{,J_{2}} \end{bmatrix}, \quad (S9)$$

$$Q_{\{1\}}^{\{1\}} = Q_{\{2\}}^{\{1\}} = Q_{\{1\}}^{\{2\}} = Q_{\{2\}}^{\{2\}} = 0.$$
 (S10)

The other matrices in Eq.(S7) have cumbersome expressions and will be not reported here for the sake of brevity. In summary, Eqs (S7) and (S8) represent respectively 6 and 3 scalar equations, respectively, determining the ISS conditions, the unknowns being the scalar functions Ψ , p and p_{τ} .

For the sake of simplicity, we now look for simplified expression neglecting the functional dependence on J_3 and J_4 , which represent higher order terms in the mixed functional dependence. In this case, the fourth scalar equation of Eq. (S7) rewrites:

$$-8\Psi_{,J_2}\Psi^{\boldsymbol{\sigma}}_{,J_1} = 0 \tag{S11}$$

which is only possible, for every \mathbf{F} and $\boldsymbol{\tau}$, if and only if Ψ does not depend on J_2 or on J_1 . In particular, if Ψ does not depend also on J_2 , then Eq. (S7) reduces to:

$$4\Psi_{,J_1}\Psi_{,J_1}^{\sigma} = 1, \quad \frac{\Psi_{,I_2}^{\sigma}}{\sqrt{\Psi_{,J_1}^{\sigma}}} + \frac{\Psi_{,I_2}}{\sqrt{\Psi_{,J_1}}} = 0, \quad p\Psi_{,J_1}^{\sigma} = \Psi_{,I_1}^{\sigma}, \quad p_{\tau}\Psi_{,J_1} = \Psi_{,I_1}, \tag{S12}$$

where we have used Eq. (S12)₁ to derive the other three equations. Let us now impose the physical compatibility of the strain energy, by letting $\mathbf{F} = \mathbf{I}$, $\boldsymbol{\sigma} = \boldsymbol{\tau}$ and $p_{\tau} =$ p. We obtain that $\Psi_{,J_1} = 1/2$, $2\Psi_{,I_1} = p$ and $\Psi_{,I_2} = 0$ for $\mathbf{F} = \mathbf{I}$. Accordingly, we derive the class of free energies for residually stressed material expressed by Eq.(5) in our Letter. The generalization to incompressible neo-Hookean materials in Eq.(6) in our Letter is straightforward, thanks to the possibility to invert explicitly its constitutive relation in order to write the strain as a function of the Cauchy stress [1]. Finally, the proposed approach and the initial stress symmetry condition are also valid for compressible materials, with minor alterations introduced by the chosen functional dependence on $I_{C3} = det \mathbf{C}$.

3 Optimal solution for the Cauchy stress within an artery

The variational problem determining the optimal distribution of the Cauchy stress in an artery under internal pressure rewrites:

$$\delta \mathcal{L} = \delta \int_{r_i}^{r_o} \left[\sigma_{rr,r}^2 + (r\sigma_{rr})_{,rr}^2\right] dr = 0$$
 (S13)

under the constraint $\int_{r_i}^{r_o} \sigma_{rr,r} dr = P$ given by the boundary conditions. Before solving the corresponding Euler-Lagrange equations, let us introduce the following dimensionless

variables:

$$\varrho = \frac{r - r_i}{r_o - r_i} \quad \text{and} \quad \varsigma(\rho) = \frac{\sigma_{rr}(r)}{P},$$
(S14)

so that

$$\sigma_{rr,r} = \varsigma' \frac{P}{r_o - r_i}, \quad \sigma_{rr,rr} = \varsigma'' \frac{P}{r_o - r_i^2}$$
 (S15)

and

$$\sigma_{\theta\theta,r} = \frac{P}{r_o - r_i} \left(2\varsigma' + (\rho + \alpha)\varsigma'' \right) \tag{S16}$$

where we have introduced $\alpha = r_i/(r_o - r_i)$. The functional \mathcal{L} in Eq.(S13) therefore rewrites:

$$\mathcal{L} = \frac{P^2}{r_i^2} \alpha^2 \int_0^1 \left[(\varsigma')^2 + (2\varsigma' + (\varrho + \alpha)\varsigma'')^2 \right] d\varrho \tag{S17}$$

with the constraint

$$\varsigma(1) = 0 \text{ and } \varsigma(0) = -1 \implies \int_0^1 \varsigma' d\varrho = 1.$$
(S18)

Using the calculus of variations [2], we find the following Euler-Lagrange equation

$$\frac{\partial f}{\partial \varsigma'} - \frac{d}{d\varrho} \frac{\partial f}{\partial \varsigma''} = \Lambda \text{ for } \varrho \in (0, 1) \text{ and } \frac{\partial f}{\partial \varsigma''} = 0 \text{ for } \varrho = 0, 1$$
 (S19)

where

$$f = (\varsigma')^2 + (2\varsigma' + (\varrho + \alpha)\varsigma'')^2 \tag{S20}$$

and Λ is a Lagrange multiplier that appears due to the constraint (S18). The solution of (S19) is given by

$$\varsigma' = \frac{\Lambda}{6} - \frac{\Lambda}{6} (\sqrt{13} - 3) \frac{\alpha^{\frac{\sqrt{13}}{2} + \frac{1}{2}} - (1 + \alpha)^{\frac{\sqrt{13}}{2} + \frac{1}{2}}}{\alpha^{\sqrt{13}} - (1 + \alpha)^{\sqrt{13}}} (\alpha + \varrho)^{\frac{\sqrt{13}}{2} + \frac{1}{2}}
+ \frac{2\Lambda}{3(\sqrt{13} - 3)} \frac{\alpha^{\frac{\sqrt{13}}{2} - \frac{1}{2}} - (1 + \alpha)^{\frac{\sqrt{13}}{2} - 1\frac{1}{2}}}{\alpha^{\sqrt{13}} - (1 + \alpha)^{\sqrt{13}}} \left(\frac{\alpha(1 + \alpha)}{\alpha + \varrho}\right)^{\frac{\sqrt{13}}{2} + \frac{1}{2}}$$
(S21)

Finally, we determine Λ from the constraint (S18) to be

$$\Lambda = 6 \left(\int_0^1 1 - (\sqrt{13} - 3) \frac{\alpha^{\frac{\sqrt{13}}{2} + \frac{1}{2}} - (1 + \alpha)^{\frac{\sqrt{13}}{2} + \frac{1}{2}}}{\alpha^{\sqrt{13}} - (1 + \alpha)^{\sqrt{13}}} (\alpha + \varrho)^{\frac{\sqrt{13}}{2} + \frac{1}{2}} + \frac{4}{\sqrt{13} - 3} \frac{\alpha^{\frac{\sqrt{13}}{2} - \frac{1}{2}} - (1 + \alpha)^{\frac{\sqrt{13}}{2} - 1\frac{1}{2}}}{\alpha^{\sqrt{13}} - (1 + \alpha)^{\sqrt{13}}} \left(\frac{\alpha(1 + \alpha)}{\alpha + \varrho} \right)^{\frac{\sqrt{13}}{2} + \frac{1}{2}} d\varrho \right)^{-1}.$$
(S22)

Finally, we compare our optimal solution for the Cauchy stress against the one obtained using a opened ring as virtual state, also known as the *opening angle method* [3], as depicted in Figure 1.

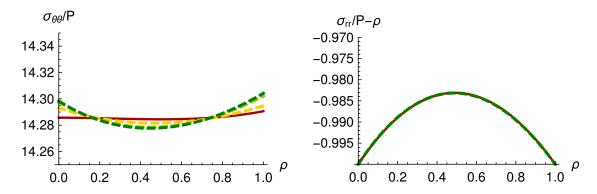


Figure 1: The curves of the dimensionless Cauchy stress against the dimensionless radius ρ for the opening angle method (dashed lines) and our optimal stress (red solid lines). We set physically relevant parameters for the descending thoracic aorta: $r_i = 20 \,\mathrm{mm}$, $r_o = 21.4 \,\mathrm{mm}$, so that $\alpha^{-1} = 0.07$. As the dashed curves shade from yellow to green P/μ goes through the values 0.05, 0.2 and 0.35 with optimal opening angle $\phi = 65.9^{\circ}$, 204.2° and 261.723°; stress free reference inner radius 20.3 mm, 25.2 mm and 31.1 mm; and stress free reference outer radius 22 mm, 27.8 mm and 34.4 mm respectively.

We highlight that $\sigma_{\theta\theta}$ for the opening angle method tends to the optimal stress $\sigma_{\theta\theta}$ only if P/μ tends to zero, while the plots for σ_{rr} do not show significant differences between the two methods.

Wave propagation in a residually stressed tube

Let us deal with the infinitesimal wave propagation of an undeformed tubular tissue with residual stresses. We consider an inhomogeneous infinitesimal wave \mathbf{u} of the form:

$$\mathbf{u} = u(R, \Theta, t)\mathbf{e}_R + v(R, \Theta, t)\mathbf{e}_{\Theta}, \tag{S23}$$

where \mathbf{E}_R and \mathbf{E}_{Θ} are the radial and tangential unit vectors, so that u, v represent the incremental radial and hoop displacement fields, respectively. Indicating with Γ = Grad \mathbf{u} the spatial displacement gradient associated with the incremental deformation, the incremental incompressibility condition reads:

$$tr \Gamma = 0 (S24)$$

Following the incremental elastic theory [4], the incremental equations of motion read:

$$\operatorname{Div} \mathbf{s} = \rho \frac{\partial^2 \mathbf{u}}{\partial t^2} \tag{S25}$$

with boundary conditions $\mathbf{e}_R \mathbf{s} = 0$ at the inner and outer radii, R_i and R_o , respectively. The components of the incremental nominal stress \mathbf{s} for the constitutive theory in Eq.(5) of the article read:

$$s_{ij} = \lambda_{\tau}(\Gamma_{ji} + \Gamma_{ij}) + \tau_{ik}\Gamma_{jk} - q_{\tau}\delta_{ij}, \tag{S26}$$

where q_{τ} is the incremental pressure, and λ_{τ} is the real root of $\lambda^2 + \lambda I_{\tau_1} + I_{\tau_3} - \mu^2 = 0$, which is the equivalent of Eq.(6) of the article in plane strain conditions.

Let as now make an educated guess of the solution in the form of a time-harmonic cylin-

drical wave, having displacement and stress components defined as:

$$[u, s_{RR}, q_{\tau}] = [U(R), S_{RR}(R), Q(R)] \cos(m\Theta - \omega t),$$

$$[v, s_{R\Theta}] = [V(R), S_{R\Theta}(R)] \sin(m\Theta - \omega t),$$
(S27)

where m is the integer angular wavenumber, ω is the angular frequency, and the amplitudes $U, V, S_{RR}, S_{R\Theta}, Q$ are scalar functions of R only. Following [5], the incompressibility condition Eq.(S24) and the equation of motion Eq.(S25) can be recast in a system of four ordinary differential equation of the first order:

$$\frac{d}{dR} \begin{bmatrix} \mathbf{U} \\ R\mathbf{S} \end{bmatrix} = \frac{1}{R} \begin{bmatrix} \mathbf{G}_1 & \mathbf{G}_2 \\ \mathbf{G}_3 & -\mathbf{G}_1^T \end{bmatrix} \begin{bmatrix} \mathbf{U} \\ R\mathbf{S} \end{bmatrix} \quad \text{with} \quad \begin{cases} \mathbf{U} = [U(R), V(R)]^T, \\ \mathbf{S} = [S_{RR}(R), S_{R\Theta}(R)]^T, \end{cases}$$
(S28)

also known as the Stroh formulation of the incremental problem. The sub-blocks of the Stroh matrix in Eq.(S28) have the following components

$$\mathbf{G}_{1} = \begin{bmatrix} -1 & -m \\ \frac{mR\lambda_{\tau}}{f + R\lambda_{\tau}} & \frac{\lambda_{\tau}}{\tau_{RR} + \lambda_{\tau}} \end{bmatrix}, \qquad \mathbf{G}_{2} = \begin{bmatrix} 0 & 0 \\ 0 & \frac{1}{\tau_{RR} + \lambda_{\tau}} \end{bmatrix},$$

$$\mathbf{G}_{3} = \begin{bmatrix} 4\lambda_{\tau} - \rho R^{2}\omega^{2} + (1+m^{2})\tau_{\Theta\Theta} + \frac{\tau_{RR}[\tau_{RR} + (1+m^{2})\lambda_{\tau}]}{\tau_{RR} + \lambda_{\tau}} & m \left[4\lambda_{\tau} + 2\tau_{\Theta\Theta} + \frac{\tau_{RR}(\tau_{RR} + 2\lambda_{\tau})}{\tau_{RR} + \lambda_{\tau}} \right] \\ m \left[4\lambda_{\tau} + 2\tau_{\Theta\Theta} + \frac{\tau_{RR}(\tau_{RR} + 2\lambda_{\tau})}{(\tau_{RR} + \lambda_{\tau})} \right] & 4m^{2}\lambda_{\tau} + (1+m^{2})I_{\tau 1} - \frac{\tau_{RR}^{2}}{(\tau_{RR} + \lambda_{\tau})} - \rho R^{2}\omega^{2} \end{bmatrix},$$
(S29)

Let us now introduce a functional relation between the incremental traction and the displacements vectors as $R \mathbf{S}(R) = \mathbf{Z}(R) \mathbf{U}(R)$, where \mathbf{Z} is a *surface impedance matrix* [6]. Substituting the previous expression into Eq.(S28), we derive the following differential Riccati equation for \mathbf{Z} ,

$$\frac{d}{dR}\mathbf{Z} = \frac{1}{R} \left(\mathbf{G}_3 - \mathbf{G}_1^T \mathbf{Z} - \mathbf{Z} \mathbf{G}_1 - \mathbf{Z} \mathbf{G}_2 \mathbf{Z} \right), \tag{S30}$$

Let us now clarify how Eq.(S30) can be used to establish a non-destructive method for measuring the residual stress distribution within a pre-stressed tube. An illustrative example is sketched in the following. Imposing the equilibrium equations in the undeformed configuration, a simple expression of residual stress distribution is given by:

$$\tau_{RR} = \alpha (R - R_i)(R_o - R)/R_i^2; \qquad \tau_{\Theta\Theta} = (R\tau_{RR})_{,R}$$
 (S31)

Using the stress-free boundary conditions and the functional form in Eq.(S31) it is possible to numerically integrate Eq. (S30) from the initial condition $\mathbf{Z} = \mathbf{Z}(R_i) = \mathbf{0}$ (resp. $\mathbf{Z} = \mathbf{Z}(R_o) = \mathbf{0}$), proving the existence of a time-harmonic cylindrical wave when the target condition $\det \mathbf{Z}(R_o) = \mathbf{0}$ (resp. $\det \mathbf{Z}(R_i) = \mathbf{0}$) is met.

The Hamiltonian structure and algebraic properties of the Stroh matrix yield a robust numerical procedure to determine when cylindrical waves appear on either of the faces of the residually stressed tube. In particular, we found the unique, symmetric, semi-definite solution of the differential Riccati equation for \mathbf{Z} in Eq.(S30) by numerical integration using the software *Mathematica* (Wolfram Inc., version 10.1, Champaign, IL) once τ_{RR} , ω , R_o and R_i are prescribed. Thus, for a given tube, we adjust the pre-stress parameter α/μ , proportional to the amplitude of the residual stress, until we meet the target condition for a given m. Once α/μ is determined, we integrate the first line of Eq.(S28), i.e.

$$\frac{d\mathbf{U}}{dR} = \frac{1}{R}\mathbf{G}_1\mathbf{U} + \frac{1}{R}\mathbf{G}_2\mathbf{Z}\mathbf{U},\tag{S32}$$

simultaneously with Eq.(S30) to compute the incremental wave field throughout the thickness of the tube wall.

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